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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 03-1074)

In re Application of: )  
 )  
Michael L. Fishbain )  
 ) Art Unit: 3624  
Serial No.: 10/727,466 )  
 ) Examiner: Bashore, Alain L.  
Filed: December 4, 2003 )  
 )  
Title: System and Method for Electronic Spread )  
Trading in Real and Synthetically Generated )  
Markets )

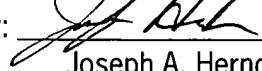
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

In regard to the above-identified patent application:

1. We are transmitting herewith the attached:  
A. Response to Office Action mailed March 11, 2005  
B. Postcard
2. With respect to additional fees:  
X \_\_\_\_\_ A. No additional fee is required.  
\_\_\_\_\_ B. Attached is a check in the amount of \$
3. Please charge any additional fees or credit overpayments to the Deposit Account No. 13-2490. A duplicate copy of this transmittal letter is enclosed.
4. CERTIFICATE UNDER 37 CFR 1.8 (FIRST CLASS MAIL): The undersigned hereby certifies that this Transmittal Letter and the document(s) as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 2<sup>nd</sup> day of June, 2004.

Dated: June 2, 2005

By:   
Joseph A. Herndon  
Reg. No. 50,469



**PATENT**

**UNITED STATES PATENT AND TRADEMARK OFFICE**

(Case No. 03-1074)

IN THE APPLICATION OF:

Michael L. Fishbain

Serial No. 10/727,466

Filed: 12/04/2003

Title: System and Method For  
Electronic Spread Trading  
In Real and Synthetically  
Generated Markets

Examiner: Bashore, Alain L.

Group Art Unit: 3624

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO THE OFFICE ACTION  
MAILED MARCH 11, 2005**

Dear Examiner Bashore,

In response to the Office Action dated March 11, 2005, the Applicant submits the following:

**Claims** begin on page 2 of this paper.

**Remarks and Arguments** begin on page 8 of this paper.